

A categorical semantics for inductive-inductive definitions

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Plan of the talk

- 1 What are inductive-inductive definitions?
- 2 How can they be described, categorically?
- 3 Exploiting initiality.

Notation

- Work in the framework of Martin-Löf type theory.
- Unit type $\mathbf{1}$, disjoint union $A + B$.
- Dependent function spaces $(x : A) \rightarrow B(x)$.
 - ▶ Elements are functions f such that $f(a) : B(a)$ whenever $a : A$.
- Dependent pairs $\Sigma x : A. B(x)$.
 - ▶ Elements are pairs $\langle a, b \rangle$ where $a : A$, $b : B(a)$.
 - ▶ Projections $\pi_0 : \Sigma x : A. B(x) \rightarrow A$ and $\pi_1 : (y : \Sigma A B) \rightarrow B(\pi_0(y))$.
- Set the type of (small) types / propositions.

Inductive-inductive definitions

What is an inductive-inductive definition?

- Induction-induction is a principle for defining datatypes $A : \text{Set}$, $B : A \rightarrow \text{Set}$.
- Both A and B are defined inductively, i.e. “built up from below”.

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- Both A and B are defined inductively, i.e. “built up from below”.
- A and B are defined simultaneously, so the constructors for A can refer to B and vice versa.
- In addition, the constructors for B can even refer to the constructors for A .

But isn't that...?

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- An ordinary inductive definition
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 - ▶ Because $B : A \rightarrow \text{Set}$ is indexed by A .

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 - ▶ Because $B : A \rightarrow \text{Set}$ is indexed by A .
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 - ▶ Because the index set $A : \text{Set}$ is defined along with $B : A \rightarrow \text{Set}$, and not fixed beforehand.
 - ▶ However, conjecture that it can be reduced to IID.

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 - ▶ Because the index set $A : \text{Set}$ is defined along with $B : A \rightarrow \text{Set}$, and not fixed beforehand.
 - ▶ However, conjecture that it can be reduced to IID.
- An inductive-recursive definition
 - ▶ Because $B : A \rightarrow \text{Set}$ is defined inductively, not recursively.

Induction-recursion vs induction-induction

- **Inductive-recursive definition:** Need to define $B(c(\vec{x}))$ completely when introducing $c(\vec{x})$.
 - ▶ For each constructor c of A , must define $B(c(\vec{x})) = \dots B \dots$
 - ▶ But can refer to $B(x)$ both positively and negatively in type of c .
 - ▶ **Example:** $B(\sigma(s, t)) = \Sigma x : B(s) . B(t(x))$.
- **Inductive-inductive definition:** Elements of $B(x)$ can be defined any time after x is introduced.
 - ▶ So might depend on elements introduced after x .
 - ▶ We can refer to $B(x)$ only positively.
 - ▶ **Example:** $B : A \rightarrow \text{Set}$ where $d : (x : A) \rightarrow (y : B(x)) \rightarrow B(c(x, y))$.

An example

Instances of induction-induction have been used implicitly by

- Dybjer (Internal type theory, 1996),
- Danielsson (A formalisation of a dependently typed language as an inductive-recursive family, 2007), and
- Chapman (Type theory should eat itself, 2009)

to model dependent type theory inside itself.

Type theory inside type theory

- $\text{Context} : \text{Set}$
 - $\text{Type} : \text{Context} \rightarrow \text{Set}$
 - $\text{Term} : (\Gamma : \text{Context}) \rightarrow \text{Type}(\Gamma) \rightarrow \text{Set}$
 - ...
 - Substitutions, ...
 - ...
-
- A light brown rounded rectangle containing the text "defined inductively" has three curved arrows pointing to the left. The top arrow points to the first item, the middle arrow points to the second item, and the bottom arrow points to the third item.

The crucial point

- The empty context ε is a well-formed context.
- If τ is a well-formed type in context Γ , then $\Gamma, x : \tau$ is a well-formed context.

$$\frac{}{\varepsilon : \text{Context}}$$

$$\frac{\Gamma : \text{Context} \quad \tau : \text{Type}(\Gamma)}{\Gamma \triangleright \tau : \text{Context}}$$

Constructor for Type referring to constructor for Context

$$\frac{\Gamma \text{ context} \quad \Gamma \vdash \sigma \text{ type} \quad \Gamma, x : \sigma \vdash \tau(x) \text{ type}}{\Gamma \vdash \Pi x : \sigma . \tau(x) \text{ type}}$$

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But is there a theory?

- Previous work: axiomatisation.
- Now: initial algebra like semantics – less ugly details.

Describing inductive-inductive datatypes

Initial algebra semantics

- Let $F : \mathbb{C} \rightarrow \mathbb{C}$ be a functor. Recall that an **F -algebra** is a pair (X, f) where $X \in \mathbb{C}$ and $f : F(X) \rightarrow X$.
- A morphism $\alpha : (X, f) \rightarrow (Y, g)$ between F -algebras is a morphism $\alpha : X \rightarrow Y$ such that

$$\begin{array}{ccc} F(X) & \xrightarrow{f} & X \\ F(\alpha) \downarrow & & \downarrow \alpha \\ F(Y) & \xrightarrow{g} & Y \end{array}$$

- Model inductive data types as initial F -algebra for suitable endofunctor F . (F “represents” the data type by describing its constructors.)
- Example:** $F(X) = \mathbf{1} + (X \times X)$, $[\text{empty}, \text{node}] : F(\text{BTree}) \rightarrow \text{BTree}$.

Induction-induction as initial algebras?

- In general, an inductive-inductive definition consists of
 - ▶ $A : \text{Set}$,
 - ▶ $B : A \rightarrow \text{Set}$,
 - ▶ a constructor $\text{in}_A : \text{Arg}_A(A, B) \rightarrow A$ for A ,
 - ▶ a constructor $\text{in}_B : (x : \text{Arg}_A(A, B)) \rightarrow \text{Arg}_B(A, B, \text{in}_A) \rightarrow B(\text{in}_A(x))$ for B

for some functors $\text{Arg}_A, \text{Arg}_B$ (but from and to where?).

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- **First thought:** an inductive-inductive def. is a family (A, B) of sets, so they should be represented by functors

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- Here, $\mathbf{Fam}(\mathbf{Set})$ category with objects (A, B) where $A : \mathbf{Set}$, $B : A \rightarrow \mathbf{Set}$.
- Morphism (A, B) to (A', B') is (f, g) where $f : A \rightarrow A'$, $g : (x : A) \rightarrow B(x) \rightarrow B'(f(x))$.

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$B : A \rightarrow \mathbf{Set}$

- **Every endofunctor F on $\mathbf{Fam}(\mathbf{Set})$ can be split up into**
 $F_0 : \mathbf{Fam}(\mathbf{Set}) \rightarrow \mathbf{Set}, F_1 : (X : \mathbf{Fam}(\mathbf{Set})) \rightarrow F_0(X) \rightarrow \mathbf{Set}.$

$B : (x : A) \rightarrow B(x) \rightarrow B(\text{in}_A(x)).$

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Induction-induction as initial algebras? (cont.)

$$F = (F_0, F_1) : \mathbf{Fam}(\mathbf{Set}) \rightarrow \mathbf{Fam}(\mathbf{Set})$$

- An F -algebra $((A, B), (c, d))$ would have “constructors”
 $c : F_0(A, B) \rightarrow A$ and $d : (x : F_0(A, B)) \rightarrow F_1(A, B, x) \rightarrow B(c(x))$.

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- But then the constructor d for B cannot refer to the constructor c for A ! [Necessary for the Π type example]
- Instead, we would like

$$F'_1 : ((A, B) : \mathbf{Fam}(\mathbf{Set}), c : F_0(A, B) \rightarrow A) \rightarrow F_0(A, B) \rightarrow \mathbf{Set}.$$

(what we have is

$$F_1 : ((A, B) : \mathbf{Fam}(\mathbf{Set})) \rightarrow F_0(A, B) \rightarrow \mathbf{Set}.)$$

Contexts and types described this way

$$\frac{}{\varepsilon : \text{Context}} \quad \frac{\Gamma : \text{Context} \quad \sigma : \text{Type}(\Gamma)}{\Gamma \triangleright \sigma : \text{Context}}$$

$$\frac{\Gamma : \text{Context}}{\iota_{\Gamma} : \text{Type}(\Gamma)} \quad \frac{\Gamma : \text{Context} \quad \sigma : \text{Type}(\Gamma) \quad \tau : \text{Type}(\Gamma \triangleright \sigma)}{\Pi(\sigma, \tau) : \text{Type}(\Gamma)}$$

$$\text{Arg}_{\text{Context}}(A, B) = \mathbf{1} + \Sigma \Gamma : A. B(\Gamma)$$

$$\text{Arg}_{\text{Type}}(A, B, c, x) = \mathbf{1} + (\Sigma \sigma : B(c(x)). \tau : B(c(\text{inr}(c(x), \sigma)))) .$$

Note 'Γ : Context' replaced by 'c(x)' for $x : \text{Arg}_{\text{Context}}(\text{Context}, \text{Type})$ in Arg_{Type} .

Can be combined into

$$\text{Arg} : ((A, B) : \mathbf{Fam}(\mathbf{Set}), c : \text{Arg}_A(A, B) \rightarrow A) \rightarrow \mathbf{Fam}(\mathbf{Set})$$

by defining $\text{Arg}(A, B, c) = (\text{Arg}_A(A, B), \text{Arg}_B(A, B, c))$.

Induction-induction as initial dialgebras

- What kind of structure is $((A, B) : \mathbf{Fam}(\mathbf{Set}), c : \mathbf{Arg}_A(A, B) \rightarrow A)$?

Induction-induction as initial dialgebras

- What kind of structure is $((A, B) : \mathbf{Fam}(\mathbf{Set}), c : \mathit{Arg}_A(A, B) \rightarrow A)$?
- Hagino introduced **dialgebras** in his PhD thesis:

Definition

Let $F, G : \mathbb{C} \rightarrow \mathbb{D}$ be functors. An (F, G) -dialgebra (X, f) consists of $X \in \mathbb{C}$ and $f : F(X) \rightarrow G(X)$. A morphism between dialgebras (X, f) and (Y, g) is a morphism $\alpha : X \rightarrow Y$ in \mathbb{C} such that

$$\begin{array}{ccc} F(X) & \xrightarrow{f} & G(X) \\ F(\alpha) \downarrow & & \downarrow G(\alpha) \\ F(Y) & \xrightarrow{g} & G(Y) \end{array}$$

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- $((A, B) : \mathbf{Fam}(\mathbf{Set}), c : \mathbf{Arg}_A(A, B) \rightarrow A)$ is a (\mathbf{Arg}_A, U) -dialgebra, where $U : \mathbf{Fam}(\mathbf{Set}) \rightarrow \mathbf{Set}$ is the forgetful functor! [$U(A, B) = A$]

$$\mathbb{C} = \mathbf{Fam}(\mathbf{Set})$$

$$\mathbb{D} = \mathbf{Set}$$

$$F = \mathbf{Arg}_A : \mathbf{Fam}(\mathbf{Set}) \rightarrow \mathbf{Set}$$

$$G = U : \mathbf{Fam}(\mathbf{Set}) \rightarrow \mathbf{Set} \quad (\text{forgetful})$$

Induction-induction as initial dialgebras (cont.)

- Thus, our ind.-ind. definitions should be represented by functors

$$\text{Arg}_A : \mathbf{Fam}(\mathbf{Set}) \rightarrow \mathbf{Set}$$

$$\text{Arg} : \text{Dialg}(\text{Arg}_A, U) \rightarrow \mathbf{Fam}(\mathbf{Set})$$

such that $U \circ \text{Arg} = \text{Arg}_A \circ V$.

- Here, $V : \text{Dialg}(\text{Arg}_A, U) \rightarrow \mathbf{Fam}(\mathbf{Set})$ is the forgetful functor sending (A, f) to A .
- the condition just says that “the first component of Arg is Arg_A .”
- We will often write $\text{Arg} = (\text{Arg}_A, \text{Arg}_B)$.

So what category do the ind.-ind. definitions live in?

- Given $\text{Arg} = (\text{Arg}_A, \text{Arg}_B) : \text{Dialg}(\text{Arg}_A, U) \rightarrow \mathbf{Fam}(\mathbf{Set})$, one might think that the “algebras” we are looking for are in $\text{Dialg}(\text{Arg}, V)$.

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- Dialgebras with $\mathbb{C} = \text{Dialg}(\text{Arg}_A, U)$, $\mathbb{D} = \mathbf{Fam}(\mathbf{Set})$,

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so $\text{Dialg}(\text{Arg}, V)$ has objects $(A, B, c, (d_0, d_1))$, where

- ▶ $A : \mathbf{Set}, B : A \rightarrow \mathbf{Set}$,
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 - ▶ $(d_0, d_1) : \text{Arg}(A, B, c) \rightarrow (A, B)$.
- The function $d_0 : \text{Arg}_A(A, B) \rightarrow A$ looks like the constructor for A that we want, but

$$d_1 : (x : \text{Arg}_A(A, B)) \rightarrow \text{Arg}_B(A, B, c, x) \rightarrow B(d_0(x))$$

does not look quite right – we need c and d_0 to be the same!

Making c and d_0 the same

$$d_1 : (x : \text{Arg}_A(A, B)) \rightarrow \text{Arg}_B(A, B, c, x) \rightarrow B(d_0(x))$$

- Use an equaliser in **CAT**.

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- Let $W : \text{Dialg}(\text{Arg}, V) \rightarrow \text{Dialg}(\text{Arg}_A, U)$ be the forgetful functor [$W(A, B, c, d) = (A, B, c)$].

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- Define $(V, U) : \text{Dialg}(\text{Arg}, V) \rightarrow \text{Dialg}(\text{Arg}_A, U)$ by $(V, U)(A, B, c, (d_0, d_1)) := (V(A, B, c), U(d_0, d_1)) = (A, B, d_0)$.

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- Note:

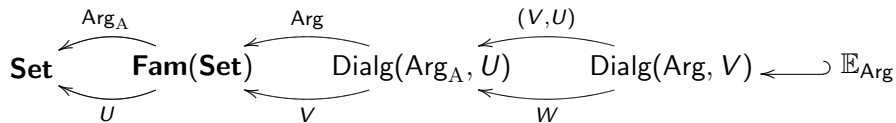
$$\begin{aligned} U(d_0, d_1) : U(\text{Arg}(A, B, c)) &\rightarrow U(V(A, B, c)) \\ &= \text{Arg}_A(V(A, B, c)) \rightarrow U(V(A, B, c)). \end{aligned}$$

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- Note:
$$\begin{aligned} U(d_0, d_1) : U(\text{Arg}(A, B, c)) &\rightarrow U(V(A, B, c)) \\ &= \text{Arg}_A(V(A, B, c)) \rightarrow U(V(A, B, c)). \end{aligned}$$
- Take equaliser of W and (V, U) , let's call it $\mathbb{E}_{(\text{Arg}_A, \text{Arg}_B)}$ [subcategory with objects $(A, B, c, (d_0, d_1))$ such that $(A, B, c) = (A, B, d_0)$].

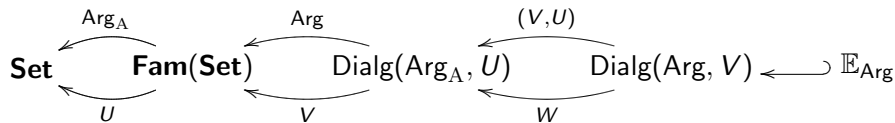
Summary



Warning: the diagram is not commuting!

- The category $\mathbb{E}_{(\text{Arg}_A, \text{Arg}_B)}$ has objects (A, B, c, d) , where
 - ▶ $A : \text{Set}$,
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- Morphisms are **Fam(Set)**-morphisms making some diagrams commute.

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 - ▶ $B : A \rightarrow \text{Set}$,
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 - ▶ $d : (x : \text{Arg}_A(A, B)) \rightarrow \text{Arg}_B(A, B, c, x) \rightarrow B(c(x))$.
- Morphisms are **Fam(Set)**-morphisms making some diagrams commute.
- Intended interpretation initial object in $\mathbb{E}_{(\text{Arg}_A, \text{Arg}_B)}$.

Initiality and elimination rules

An example of iteration from initiality

- Back to the example.
- Suppose that we want a concatenation of contexts

$$++ : \text{Context} \rightarrow \text{Context} \rightarrow \text{Context}$$

- For example for more general formation rules such as

$$\frac{\sigma : \text{Type}(\Gamma) \quad \tau : \text{Type}(\Delta)}{\sigma \times \tau : \text{Type}(\Gamma ++ \Delta)}$$

Context concatenation

- Should satisfy equations

$$\begin{aligned} \Delta \ ++ \ \varepsilon &= \Delta \\ \Delta \ ++ \ (\Gamma \triangleright \sigma) &= (\Delta \ ++ \ \Gamma) \triangleright (\text{wk}_\Gamma(\sigma, \Delta)) \ , \end{aligned}$$

Context concatenation

- Should satisfy equations

$$\Delta \ ++ \ \varepsilon \ = \ \Delta$$

$$\Delta \ ++ \ (\Gamma \triangleright \sigma) \ = \ (\Delta \ ++ \ \Gamma) \triangleright (\text{wk}_\Gamma(\sigma, \Delta)) \ ,$$

- $\text{wk} : (\Gamma : \text{Context}) \rightarrow (\sigma : \text{Type}(\Gamma)) \rightarrow (\Delta : \text{Context}) \rightarrow \text{Type}(\Delta \ ++ \ \Gamma)$ is a weakening operation.

- ▶ That is, if $\sigma : \text{Type}(\Gamma)$, then $\text{wk}_\Gamma(\sigma, \Delta) : \text{Type}(\Delta \ ++ \ \Gamma)$.

Context concatenation

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- $\text{wk} : (\Gamma : \text{Context}) \rightarrow (\sigma : \text{Type}(\Gamma)) \rightarrow (\Delta : \text{Context}) \rightarrow \text{Type}(\Delta \ ++ \ \Gamma)$ is a weakening operation.
 - ▶ That is, if $\sigma : \text{Type}(\Gamma)$, then $\text{wk}_\Gamma(\sigma, \Delta) : \text{Type}(\Delta \ ++ \ \Gamma)$.

- Should satisfy own equations

$$\begin{aligned} \text{wk}_\Gamma(\iota_\Gamma, \Delta) &= \iota_{\Delta \ ++ \ \Gamma} \\ \text{wk}_\Gamma(\Pi_\Gamma(\sigma, \tau), \Delta) &= \Pi_{\Delta \ ++ \ \Gamma}(\text{wk}_\Gamma(\sigma, \Delta), \text{wk}_{\Gamma \triangleright \sigma}(\tau, \Delta)) \ . \end{aligned}$$

Context concatenation (cont.)

- Recall:

$$\text{Arg}_{\text{Context}}(A, B) = \mathbf{1} + \Sigma \Gamma : A. B(\Gamma)$$

$$\text{Arg}_{\text{Type}}(A, B, c, x) = \mathbf{1} + (\Sigma \sigma : B(c(x)). \tau : B(\text{inr}(c(x), \sigma))) .$$

Context concatenation (cont.)

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- Want to give (A, B) , where $A = \text{Context} \rightarrow \text{Context}$ and $B(f) = (\Delta : \text{Context}) \rightarrow \text{Type}(f(\Delta))$, an $(\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})$ structure such that initiality gives us $++$ and wk .

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$$\text{in}_A : \text{Arg}_{\text{Context}}(A, B) \rightarrow A$$

$$\text{in}_A(\text{inl}(\star)) = \{? : \text{Context} \rightarrow \text{Context}\}$$

$$\text{in}_A(\text{inr}(\langle f, g \rangle)) = \{? : \text{Context} \rightarrow \text{Context}\} ,$$

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$$\text{in}_B(\Delta, \text{inl}(\star)) = \lambda \Gamma. \iota_{\text{in}_A(\Delta)}(\Gamma)$$

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Context concatenation (cont.)

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$$\text{in}_B(\Delta, \text{inl}(\star)) = \lambda \Gamma. \iota_{\text{in}_A(\Delta)}(\Gamma)$$

$$\text{in}_B(\Delta, \text{inr}(\langle g, h \rangle)) = \lambda \Gamma. \Pi_{\text{in}_A(\Delta)}(\Gamma)(g(\Gamma), h(\Gamma)) .$$

Context concatenation (cont.)

- Initiality gives a morphism $(++, wk) : (\text{Context}, \text{Type}) \rightarrow (A, B)$ s. t.

$$\begin{array}{ccc} (\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(\text{Context}, \text{Type}, [\varepsilon, \triangleright]) & \xrightarrow{([\varepsilon, \triangleright], [\iota, \Pi])} & (\text{Context}, \text{Type}) \\ (\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(++, wk) \downarrow & & \downarrow (++, wk) \\ (\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(A, B, \text{in}_A) & \xrightarrow{(\text{in}_A, \text{in}_B)} & (A, B) \end{array}$$

Context concatenation (cont.)

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- We better check it satisfies the specification:

$$++(\varepsilon) =$$

Context concatenation (cont.)

- Initiality gives a morphism $(++, wk) : (\text{Context}, \text{Type}) \rightarrow (A, B)$ s. t.

$$\begin{array}{ccc} (\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(\text{Context}, \text{Type}, [\varepsilon, \triangleright]) & \xrightarrow{([\varepsilon, \triangleright], [\iota, \Pi])} & (\text{Context}, \text{Type}) \\ (\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(++, wk) \downarrow & & \downarrow (++, wk) \\ (\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(A, B, \text{in}_A) & \xrightarrow{(\text{in}_A, \text{in}_B)} & (A, B) \end{array}$$

- We better check it satisfies the specification:

$$++(\varepsilon) = \text{in}_A(\text{Arg}_{\text{Context}}(++ , wk)(\text{inl}(\star)))$$

Context concatenation (cont.)

- Initiality gives a morphism $(\text{++}, \text{wk}) : (\text{Context}, \text{Type}) \rightarrow (A, B)$ s. t.

$$\begin{array}{ccc}
 (\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(\text{Context}, \text{Type}, [\varepsilon, \triangleright]) & \xrightarrow{([\varepsilon, \triangleright], [\iota, \Pi])} & (\text{Context}, \text{Type}) \\
 (\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(\text{++}, \text{wk}) \Big| & & \Big| (\text{++}, \text{wk}) \\
 (\text{Arg}_{\text{Context}} \boxed{\text{Arg}_{\text{Context}}(f, g) = \text{id} + \Sigma(f, g)} & \xrightarrow{(\text{inl}, \text{inr})} & (A, B)
 \end{array}$$

- We better check it satisfies the specification:

$$\text{++}(\varepsilon) = \text{in}_A(\text{Arg}_{\text{Context}}(\text{++}, \text{wk})(\text{inl}(\star)))$$

Context concatenation (cont.)

- Initiality gives a morphism $(++, wk) : (\text{Context}, \text{Type}) \rightarrow (A, B)$ s. t.

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 (\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(++, wk) \Big| & & \Big| (++) \\
 (\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(++) & \xrightarrow{\text{Arg}_{\text{Context}}(f, g) = \text{id} + \Sigma(f, g)} & (A, B)
 \end{array}$$

- We better check it satisfies the specification:

$$++(\varepsilon) = \text{in}_A(\text{Arg}_{\text{Context}}(++)(\text{inl}(\star))) = \text{in}_A(\text{inl}(\star))$$

Context concatenation (cont.)

- Initiality gives a morphism $(\text{++}, \text{wk}) : (\text{Context}, \text{Type}) \rightarrow (A, B)$ s. t.

$$(\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(\text{Context}, \text{Type}, [\varepsilon, \triangleright]) \xrightarrow{([\varepsilon, \triangleright], [\iota, \Pi])} (\text{Context}, \text{Type})$$

$$(\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(\text{++}, \text{wk}) \quad \Bigg| \quad \Bigg| \quad (\text{++}, \text{wk})$$

$$\begin{array}{l}
 (\text{Arg}_{\text{Context}} \text{ ; } \\
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Context concatenation (cont.)

- Initiality gives a morphism $(\text{++}, \text{wk}) : (\text{Context}, \text{Type}) \rightarrow (A, B)$ s. t.

$$(\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(\text{Context}, \text{Type}, [\varepsilon, \triangleright]) \xrightarrow{([\varepsilon, \triangleright], [\iota, \Pi])} (\text{Context}, \text{Type})$$

$$(\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(\text{++}, \text{wk}) \quad \Bigg| \quad \Bigg| \quad (\text{++}, \text{wk})$$

$$(\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(\text{++}, \text{wk}) \left(\begin{array}{l} \text{in}_A : \text{Arg}_{\text{Context}}(A, B) \rightarrow A \\ \text{in}_A(\text{inl}(\star)) = \lambda\Delta. \Delta \\ \text{in}_A(\text{inr}(\langle f, g \rangle)) = \lambda\Delta. (f(\Delta) \triangleright g(\Delta)) \end{array} \right)$$

- We better ch

$$\text{++}(\varepsilon) = \text{in}_A(\text{Arg}_{\text{Context}}(\text{++}, \text{wk})(\text{inl}(\star))) = \text{in}_A(\text{inl}(\star)) = \lambda\Delta. \Delta$$

Context concatenation (cont.)

- Initiality gives a morphism $(\text{++}, \text{wk}) : (\text{Context}, \text{Type}) \rightarrow (A, B)$ s. t.

$$\begin{array}{ccc} (\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(\text{Context}, \text{Type}, [\varepsilon, \triangleright]) & \xrightarrow{([\varepsilon, \triangleright], [\iota, \Pi])} & (\text{Context}, \text{Type}) \\ (\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(\text{++}, \text{wk}) \downarrow & & \downarrow (\text{++}, \text{wk}) \\ (\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(A, B, \text{in}_A) & \xrightarrow{(\text{in}_A, \text{in}_B)} & (A, B) \end{array}$$

- We better check it satisfies the specification:

$$\text{++}(\varepsilon) = \text{in}_A(\text{Arg}_{\text{Context}}(\text{++}, \text{wk})(\text{inl}(\star))) = \text{in}_A(\text{inl}(\star)) = \lambda\Delta. \Delta$$

- Thus $\Delta \text{++} \varepsilon = \Delta$.

Context concatenation (cont.)

- Initiality gives a morphism $(\dashv\vdash, \text{wk}) : (\text{Context}, \text{Type}) \rightarrow (A, B)$ s. t.

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 (\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(\text{Context}, \text{Type}, [\varepsilon, \triangleright]) & \xrightarrow{([\varepsilon, \triangleright], [\iota, \Pi])} & (\text{Context}, \text{Type}) \\
 (\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(\dashv\vdash, \text{wk}) \downarrow & & \downarrow (\dashv\vdash, \text{wk}) \\
 (\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(A, B, \text{in}_A) & \xrightarrow{(\text{in}_A, \text{in}_B)} & (A, B)
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- We better check it satisfies the specification:

$$\begin{aligned}
 \dashv\vdash(\varepsilon) &= \text{in}_A(\text{Arg}_{\text{Context}}(\dashv\vdash, \text{wk})(\text{inl}(\star))) = \text{in}_A(\text{inl}(\star)) = \lambda\Delta. \Delta \\
 \dashv\vdash(\Gamma \triangleright \sigma) &=
 \end{aligned}$$

- Thus $\Delta \dashv\vdash \varepsilon = \Delta$.

Context concatenation (cont.)

- Initiality gives a morphism $(\dashv\vdash, \text{wk}) : (\text{Context}, \text{Type}) \rightarrow (A, B)$ s. t.

$$\begin{array}{ccc}
 (\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(\text{Context}, \text{Type}, [\varepsilon, \triangleright]) & \xrightarrow{([\varepsilon, \triangleright], [\iota, \Pi])} & (\text{Context}, \text{Type}) \\
 (\text{Arg}_{\text{Context}}, \text{Arg}_{\text{Type}})(\dashv\vdash, \text{wk}) \downarrow & & \downarrow (\dashv\vdash, \text{wk}) \\
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- Thus $\Delta \text{++} \varepsilon = \Delta$ and $\Delta \text{++} (\Gamma \triangleright \sigma) = (\Delta \text{++} \Gamma) \triangleright \text{wk}_{\Gamma}(\sigma, \Delta)$.
- The equations for wk hold in the same way.

What about dependent functions?

- Traditional presentations of type theory include elimination rules (eliminator terms) instead of defining functions using initiality.
- Get dependent functions

$$\text{elim}_{\text{Arg}_A}(\dots) : (x : A) \rightarrow P(x)$$

$$\text{elim}_{\text{Arg}_B}(\dots) : (x : A) \rightarrow (y : B(x)) \rightarrow Q(x, y, \text{elim}_{\text{Arg}_A}(\dots, x))$$

by defining them for elements of the form $c(x)$, $d(x, y)$ with access to inductive hypothesis / structurally recursive calls.

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by defining them for elements of the form $c(x)$, $d(x, y)$ with access to inductive hypothesis / structurally recursive calls.

- In detail:

$$\begin{array}{l} P : A \rightarrow \text{Set} \\ Q : (x : A) \rightarrow B(x) \rightarrow P(x) \rightarrow \text{Set} \\ \text{step}_c : (x : \text{Arg}_A(A, B)) \rightarrow \square_{\text{Arg}_A}(P, Q, x) \rightarrow P(c(x)) \\ \text{step}_d : (x : \text{Arg}_A(A, B)) \rightarrow (y : \text{Arg}_B(A, B, c, x)) \rightarrow (\tilde{x} : \square_{\text{Arg}_A}(P, Q, x)) \\ \quad \rightarrow \square_{\text{Arg}_B}(P, Q, c, \text{step}_c, x, y, \tilde{x}) \rightarrow Q(c(x), d(x, y), \text{step}_c(x, \tilde{x})) \\ \hline \text{elim}_{\text{Arg}_A}(P, Q, \text{step}_c, \text{step}_d) : (x : A) \rightarrow P(x) \end{array}$$

$$\text{elim}_{\text{Arg}_B}(P, Q, \text{step}_c, \text{step}_d) : (x : A) \rightarrow (y : B(x)) \rightarrow Q(x, y, \text{elim}_{\text{Arg}_A}(P, Q, \text{step}_c, \text{step}_d, x))$$

Elimination rules vs. initiality

- One could think that eliminators are strictly stronger than initiality, since they allow one to define dependent functions (and do proof by induction).

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An initial object in \mathbb{E}_{Arg} has an eliminator.

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- However, this is not the case!

Proposition

An initial object in \mathbb{E}_{Arg} has an eliminator.

- The proof is a generalisation of the proof of the analog result for initial algebras.

Initiality vs. elimination rules

- By considering constant families $P(x) = Y$, $Q(v, x, y) = Z(y)$, we get

Proposition

Every object with an eliminator is weakly initial in \mathbb{E}_{Arg} .

Equivalence for strictly positive functors

- For strictly positive functors (as codified in our previous axiomatisation), we can do induction on their build-up to prove the uniqueness of the initiality arrow.

Theorem

For an inductive-inductive definition given by a strictly positive functor (Arg_A, Arg_B) , the elimination rules hold if and only if $\mathbb{E}_{(Arg_A, Arg_B)}$ has an initial object.

Summary

- **Inductive-inductive definitions:** $A : \text{Set}$, $B : A \rightarrow \text{Set}$ defined mutually dependent, both defined inductively.
- **Initial-algebra-like semantics**, but using **dialgebras** instead of ordinary algebras.
- Equivalence between **initiality** and **elimination rules** for strictly positive functors.

Summary

- **Inductive** mutually
- **Initial-alg** ordinary a
- **Equivalen** positive f

Thanks!



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